DOES UK WHISTLEBLOWING LEGISLATION PROVIDE A SUITABLE MODEL? SOME COMMENTS ON THE FIRST 18 YEARS.

Professor David Lewis
Head of the Whistleblowing Research Unit,
Middlesex University
d.b.lewis@mdx.ac.uk

SOME <u>POSITIVE</u> FEATURES OF THE PUBLIC INTEREST DISCLOSURE ACT 1998 (PIDA)

- COVERS BOTH PRIVATE AND PUBLIC SECTORS IRRESPECTIVE OF NUMBERS EMPLOYED.
- BROAD DEFINITION OF 'WORKERS' COVERED (BUT NO PROTECTION FOR VOLUNTEERS).
- PROTECTION NO LONGER DEPENDS ON DEMONSTRATING 'GOOD FAITH'.
- EMPLOYERS ARE VICARIOUSLY LIABLE FOR REPRISALS TAKEN AGAINST WHISTLEBLOWERS BY THEIR STAFF.

SOME <u>NEGATIVE</u> FEATURES OF PIDA (1)

- THE PUBLIC INTEREST TEST MAKES CASE OUTCOMES DIFFICULT TO PREDICT AND UNCERTAINTY WILL INHIBIT DISCLOSURES. [ADDITIONAL PROBLEM OF BURDEN OF PROOF.]
- TO BE PROTECTED, WORKERS WHO DISCLOSE TO A REGULATOR WILL NEED TO KNOW THE CORRECT 'PRESCRIBED PERSON' TO APPROACH. SUCH 'PERSONS' ARE <u>NOT</u> OBLIGED TO TRANSFER INFORMATION TO AN APPROPRIATE RECIPIENT.
- EMPLOYERS ARE <u>NOT</u> OBLIGED BY PIDA TO HAVE A WHISTLEBLOWING PROCEDURE, TO INVESTIGATE A CONCERN OR REQUIRED TO TAKE REMEDIAL ACTION IF WRONGDOING IS ESTABLISHED!

SOME <u>NEGATIVE</u> FEATURES OF PIDA (2)

- THERE IS NO PROTECTION AGAINST
 DISCRIMINATION AT THE HIRING STAGE, FOR
 WORKERS ATTEMPTING TO MAKE A PROTECTED
 DISCLOSURE OR THOSE (RIGHTLY OR WRONGLY!)
 ASSOCIATED WITH A WHISTLEBLOWER.
- THERE IS NO CRIMINAL SANCTION FOR RETALIATING AGAINST WHISTLEBLOWERS.
- THERE IS NO PROVISION FOR MONITORING/ REVIEW OF THE LEGISLATION.

SOME PRACTICAL CONSIDERATIONS

• THE INTRODUCTION OF EMPLOYMENT TRIBUNAL FEES LIMITS ACCESS TO JUSTICE.

• LACK OF A SPECIALIST ADVISORY, ENFORCEMENT ETC AGENCY.

• LEGISLATION NOT WIDELY PUBLICISED.